

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No.:	D3131
	First Inventor:	Chandra Sekhar Jasti et al.
	Title:	METHOD AND APPARATUS FOR AUTOMATICALLY ADJUSTING THE OPTICAL POWER DIRECTED TO A ...
	Express Mail Label No.:	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS (see MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form in duplicate (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification Total Pages <input type="text" value="13"/> (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets <input type="text" value="3"/> 5. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/ divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet under 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-4 (2 copies); ii. <input type="checkbox"/> or paper c. <input type="checkbox"/> Statements verifying identify of above copies 
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ACCOMPANYING APPLICATION PARTS	
18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in- Part (CIP) Prior Appl. No. <input type="text"/> Prior Appl. information: Examiner: <input type="text"/> Group/Art Unit: <input type="text"/> For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) <input checked="" type="checkbox"/> Power of Attorney Statement (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> IDS <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 15. <input type="checkbox"/> Certified Copy of Priority Document 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____ _____ _____ _____

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<input type="text" value="27774"/>		or <input type="checkbox"/> Correspondence address below	
Name	Stuart H. Mayer				
Address	Mayer Fortkort & Williams, PC				
	251 North Avenue West, 2 <sup>nd</sup> Floor				
City	Westfield	State	NJ	Zip Code	07090
Country	U.S.A.	Telephone	908-518-7700	Fax	908-518-7795
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SIGNATURE	<i>Stuart H. Mayer</i>		Date	<i>11/20/03</i>	

22390 U.S. PTO  
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<div>FEE TRANSMITTAL</div> <div>Patent fees are subject to annual revision</div>		Complete if Known																																																																																																																																																																																																																										
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ADDITIONAL FEES</div> <table><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee</th><th>Fee</th><th>Fee</th><th>Fee</th><th>Fee Description</th></tr><tr><th>Code</th><th>(\$)</th><th>Code</th><th>(\$)</th><th></th><th></th><th></th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td></td><td></td><td>Surcharge - late filing fee or oath</td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td></td><td></td><td>Surcharge - late Provisional filing</td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td></td><td></td><td>Non-English specification</td></tr><tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td></td><td></td><td>For filing a request for ex parte Reexamination</td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td></tr><tr><td>1805</td><td>1840*</td><td>1805</td><td>1840*</td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td></tr><tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td></td><td></td><td>Extension for reply within first month</td></tr><tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td></td><td></td><td>Extension for reply within second month</td></tr><tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td></td><td></td><td>Extension for reply within third month</td></tr><tr><td>1254</td><td>1480</td><td>2254</td><td>740</td><td></td><td></td><td>Extension for reply within fourth month</td></tr><tr><td>1255</td><td>2010</td><td>2255</td><td>1005</td><td></td><td></td><td>Extension for reply within fifth month</td></tr><tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td></td><td></td><td>Notice of Appeal</td></tr><tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td></td><td></td><td>Filing a brief in support of an appeal</td></tr><tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td></td><td></td><td>Request for oral hearing</td></tr><tr><td>1451</td><td>1510</td><td>1451</td><td>1510</td><td></td><td></td><td>Petition to institute a public use proceeding</td></tr><tr><td>1452</td><td>1330</td><td>2452</td><td>665</td><td></td><td></td><td>Petition to revive - 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Name (Print/Type)	Caroline T. Coker	Registration No.	50,516	Telephone	215-323-1797																																																																																																																																																																																																																							
Signature	[Signature]	Date	11/20/2003																																																																																																																																																																																																																									

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			<b>Docket No.</b>
<b>Applicant(s): Chandra Sekhar Jasti et al.</b>			<b>D3131</b>
<b>Serial No.</b>	<b>Filing Date</b>	<b>Examiner</b>	<b>Group Art Unit</b>
<b>Unassigned</b>	<b>Filed Herewith</b>	<b>Unassigned</b>	<b>Unassigned</b>

**Invention: METHOD AND APPARATUS FOR AUTOMATICALLY ADJUSTING THE OPTICAL POWER DIRECTED TO A DISPERSION COMPENSATING ELEMENT**

I hereby certify that the following correspondence:

New U.S. Patent Application  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 20, 2003  
(Date)

Carol J. Smith  
(Typed or Printed Name of Person Mailing Correspondence)

Carol J. Smith  
(Signature of Person Mailing Correspondence)

EV 317194670 US  
(("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**